

Filing Fee Payment Information and Instructions

The Annual Statement filing fee is due March 1, 2024.

The Combined Statement filing fee is due May 1, 2024.

- ▶ Please follow the guidelines below when preparing your filing fee.

1. Go to the filing fee information website at:

https://content.naic.org/industry_financial_filing.htm

To determine your filing fee, if you are not claiming any premiums, then the minimum fee on the chart is due. If you are filing for a group that meets the group fee, your fee can be remitted by one company. If filing combined, please remit the combined filing fee amount.

2. **Choose and complete the appropriate Remittance Advice**

- a. **Individual Remittance Advice**

<https://content.naic.org/sites/default/files/industry-financial-filing-individual-remittance-advice.pdf>

- b. **Combined Remittance Advice**

<https://content.naic.org/sites/default/files/industry-financial-filing-combined-remittance-advice.pdf>

- c. **Group Remittance Advice**

<https://content.naic.org/sites/default/files/industry-financial-filing-group-remittance-advice.pdf>

If you file for multiple Individual Companies and also a Combined, please fill out a remittance advice for each of the Individual Company filings and one for the Combined. You may send one check but attach all remittance advices to the check. If you are filing a Combined, please include your combined company code. Fill out all information requested. It is very important that you include the company code(s) as well as the name and phone number of the person sending the check in case there are questions concerning your payment.

If you choose a group remittance advice, please submit a list of company codes for which the filing is remitted. A group fee may be submitted via one check. Please indicate the Parent of the Group. It is very important that you include the name and phone number of the person sending the check in case there are questions concerning your payment.

3. To pay your filing fee online, go to www.naic.org and choose the Industry tab, then choose account manager and follow the instructions or click this link http://www.naic.org/account_manager.htm.
4. To pay by ACH or Wire, you must reference the company code(s):
United Missouri Bank (UMB)
Routing# 101000695
For the benefit of NAIC
Acct #9870877295
5. To pay by Check please make check Payable to the **NAIC**. Checks must be mailed to the Post Office Box listed below. All filing fee checks must be postmarked by the filing fee deadline of March 1, 2024 (May 1, 2024 for Combined Property & Casualty Statement filings).
NAIC
Attn: Database Filing Fees
P. O. Box 87-9135
Kansas City, MO 64187-9135

Whom to Contact for Questions Regarding the Filing Fee Payment and Remittance Advice
Accounts Receivable, NAIC Accounting, (816) 783-8062, (816) 783-8085, or e-mail AcctgRec@naic.org

NAIC Filing Fee Structure

The NAIC is using a streamlined formulaic approach for the calculation of the annual financial filing (data year) database filing fee. In this approach fees are calculated using the measurement of an insurance company's premiums or assumed reinsurance (defined below) multiplied by a base factor, subject to a minimum fee and individual and group caps, as illustrated below. The NAIC no longer uses a tiered fee structure.

Formulaic Approach for NAIC Database Filing Fees for 2023 Data Year Filings-Submitted in 2024
Premium Base Level x 0.000026
Minimum Fee = \$240
Individual Cap = \$100,419
Group Cap = \$502,095

Premium Base by Statement Type

Database Filing Fees for Individual Filings

The fees for individual filings are based on the greater of direct premiums written (including annuity and other fund deposits) **OR** reinsurance assumed from non-affiliates. The following specifies the annual statement references for calculating the premium base.

Property & Casualty Statement

The premium base is the greater of:

Underwriting and Investment Exhibit, Part 1B-Premiums Written, line 35, column 1

or

Underwriting and Investment Exhibit, Part 1B-Premiums Written, line 35, column 3

Life, Accident & Health/Fraternal Statement

The premium base is the greater of:

Schedule T, line 95, columns 6 and 7

or

Sum of Schedule S, Part 1, Section 1, line 1099999 (Total non-affiliates general account) plus line 2199999 (Total non-affiliates separate account), column 10

Plus

Schedule S, Part 1, Section 2, line 1099999 (Total non-affiliates), column 8

Title Statement

The premium base is the greater of:

Operations and Investment Exhibit, Part 1A, line 1, column 4

or

Schedule F, Part 1, line 9999999 less line 0899999, sum of columns 6 and 9 **times** 1000

Health Statement

The premium base is the greater of:

Underwriting & Investment Exhibit – Part 1 line 16 (Totals), column 1

or

Schedule S, Part 1, Section 2, line 1099999 (Total non-affiliates.), column 8

Database Filing Fees for Combined Property & Casualty Filings

The filing fee for combined Property & Casualty filing is \$690 and is not determined by a premium base.

Filing Fee Limit

The NAIC filing fee limit for insurer groups is \$502,095. Insurer groups are determined by using the NAIC group code on the NAIC Financial Database. Group caps and group adjustments can be guaranteed only if the group changes are received by December 31st of the filing year. To add or delete companies to your group, contact Jennifer Heinz, Company Demographics Analyst, at (816) 783-8605 or JHeinz@naic.org. All other questions regarding your filing fee, contact Accounts Receivable, NAIC Accounting, (816) 783-8062, (816) 783-8085, or e-mail AcctgRec@naic.org.