

Completed	N/A	EXAMPLE RATE/RULE FILING CHECKLIST	
<input type="checkbox"/>	<input type="checkbox"/>	1.	Please complete all check boxes on this form or your filing may be returned "Rejected," and a resubmission may be necessary.
<input type="checkbox"/>	<input type="checkbox"/>	2.	All rate information must be completed on the rate/rule tab without capping.
<input type="checkbox"/>	<input type="checkbox"/>	3.	All proposed rate/rule manual pages must be submitted under the rate/rule schedule tab for approval.
<input type="checkbox"/>	<input type="checkbox"/>	4.	Complete rate/rule manual with all proposed changes must be submitted under supporting documents tab as this will be marked informational only. A complete manual should consist of all corresponding rules for your optional forms, all rules corresponding to your rating factors, all rating factors, territory definitions and factors, and all proposed changes to rules and rates.
<input type="checkbox"/>	<input type="checkbox"/>	5.	Provide a histogram on an uncapped basis. If the filing contains more than one company, please provide a separate histogram for each company.
<input type="checkbox"/>	<input type="checkbox"/>	6.	Provide the characteristics of the insured(s) receiving the maximum rate increase. If the filing contains more than one company, please provide a separate histogram for each company.
<input type="checkbox"/>	<input type="checkbox"/>	7.	Provide the average dollar change, the maximum dollar change, and minimum dollar change on an uncapped basis. If the filing contains more than one company, please provide a separate histogram for each company.
<input type="checkbox"/>	<input type="checkbox"/>	8.	Please provide our department with a talking points sheet that will assist our consumer assistance division should we receive consumer complaints regarding the rate increase. This submission should provide detailed information that we can share with policyholders that will explain what it is causing this rate increase.
<input type="checkbox"/>	<input type="checkbox"/>	9.	Please provide us with the breakdown of the permissible loss ratio by coverage including: <ul style="list-style-type: none"> a. Taxes, licenses, and fees b. Total production expense c. Underwriting profit d. Any other fees that comprise the permissible loss ratio e. Permissible loss ratio
<input type="checkbox"/>	<input type="checkbox"/>	10.	Provide all support and justification exhibits for rate change including how you derived your overall indication, all support for proposed factor changes, etc.
<input type="checkbox"/>	<input type="checkbox"/>	11.	This checklist item is only required for Personal Auto rate filings: Provide the percentage breakdown of the rate impact. If the filing contains more than one company, please provide a separate histogram for each company.
<input type="checkbox"/>	<input type="checkbox"/>	12.	Rates developed using generalized linear modeling or other predictive modeling techniques must include a detailed narrative of the modeling process. This should include a description of the modeling data, variable selection process, data dictionary, model testing & validation, and any judgements made throughout the process.
<input type="checkbox"/>	<input type="checkbox"/>	13.	If a GLM (Generalized Linear Model) is currently in use, the company must include the SERFF tracking number of the original GLM filing.