

**NAIC BLANKS (E) WORKING GROUP**

**Blanks Agenda Item Submission Form**

<b>CONTACT PERSON:</b> _____ <b>TELEPHONE:</b> _____ <b>EMAIL ADDRESS:</b> _____ <b>ON BEHALF OF:</b> _____ <b>NAME:</b> <u>Dale Bruggeman</u> <b>TITLE:</b> <u>Chair SAPWG</u> <b>AFFILIATION:</b> <u>Ohio Department of Insurance</u> <b>ADDRESS:</b> <u>50W. Town St., 3<sup>rd</sup> Fl., Ste. 300</u> <u>Columbus, OH 43215</u>	<b>DATE:</b> <u>11/14/2016</u>	<b>FOR NAIC USE ONLY</b>
	Agenda Item # <u>2016-33BWG MOD</u> Year <u>2017</u> Changes to Existing Reporting [ X ] New Reporting Requirement [ ]	<b>REVIEWED FOR ACCOUNTING PRACTICES AND PROCEDURES IMPACT</b>
	No Impact [ X ] Modifies Required Disclosure [ ]	<b>DISPOSITION</b>
	[ ] Rejected For Public Comment [ ] Referred To Another NAIC Group [ ] Received For Public Comment [ X ] Adopted Date <u>04/08/2016</u> [ ] Rejected Date _____ [ ] Deferred Date _____ [ ] Other (Specify) _____	

**BLANK(S) TO WHICH PROPOSAL APPLIES**

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|--|---|--|
| <input checked="" type="checkbox"/> ANNUAL STATEMENT               | <input checked="" type="checkbox"/> QUARTERLY STATEMENT | <input checked="" type="checkbox"/> BLANK  |
| <input checked="" type="checkbox"/> INSTRUCTIONS                   | <input checked="" type="checkbox"/> CROSSCHECKS         |  |
| <input checked="" type="checkbox"/> Life and Accident & Health     | <input checked="" type="checkbox"/> Property/Casualty   | <input checked="" type="checkbox"/> Health |
| <input checked="" type="checkbox"/> Separate Accounts              | <input checked="" type="checkbox"/> Fraternal           | <input checked="" type="checkbox"/> Title  |
| <input checked="" type="checkbox"/> Other Specify (Protected Cell) |   |  |

Anticipated Effective Date: Annual 2017

**IDENTIFICATION OF ITEM(S) TO CHANGE**

Modify the appropriate instructions and blank pages to reflect the movement of money market mutual funds from being reported on Schedule DA, Part 1 to being reported on Schedule E, Part 2. Change the title for Schedule E Verification to include the reference to Part 2 and add a column to the annual verification for money market mutual funds.

**REASON, JUSTIFICATION FOR AND/OR BENEFIT OF CHANGE\*\***

The purpose of this proposal is to change the instructions to reflect the changes adopted by the Statutory Accounting Principles Working Group to SSAP No. 2—Cash, Drafts and Short-term Investments to move money market mutual funds from short-term investments to cash equivalents.

**NAIC STAFF COMMENTS**

Comment on Effective Reporting Date: \_\_\_\_\_

Other Comments:

\*\* This section must be completed on all forms.

ASSETS

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Line 5 – Cash, Cash Equivalents and Short-Term Investments

Include: All cash, including petty cash, other undeposited funds, certificates of deposit in banks or other similar financial institutions with maturity dates of one year or less from the acquisition date and other instruments defined as cash and cash equivalents in accordance with *SSAP No. 2—Cash, Drafts, and Short-Term Investments*.

Include in Column 2, the excess of deposits in suspended depositories over the estimated amount recoverable.

The amount in Column 1 should agree with the sum of Schedule E, Part 1, Column 6, Schedule E, Part 2, Column 6-7 and Schedule DA, Part 1, Column 8-7. The amount in Column 1 should agree with Cash Flow, line 19.2. The prior year’s Column 1 amount should agree with Cash Flow, line 19.1.

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INVESTMENT SCHEDULES GENERAL INSTRUCTIONS  
(Applies to all investment schedules)

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**General Classifications Common Stock Only:**

Refer to *SSAP No. 30—Unaffiliated Common Stock* and *SSAP No. 97—Investments in Subsidiary, Controlled and Affiliated Entities*.

Industrial and Miscellaneous (Unaffiliated):

All unaffiliated common stocks that are not mutual funds or money market mutual funds. Include Public Utilities, Banks, Trusts and Insurance Companies.

Mutual Funds:

All investments in shares of funds regulated as mutual funds by the Securities and Exchange Commission. This definition does not include closed funds or hedge funds.

Money Market Mutual Funds:

~~All investment in shares of funds regulated as money market mutual funds by the Securities and Exchange Commission.~~

Parent, Subsidiaries and Affiliates:

Defined by SSAP No. 97—*Investments in Subsidiary, Controlled and Affiliated Entities.*

**General Classifications Cash Equivalents Only:**

Refer to SSAP No. 2—Cash Equivalents, Drafts and Short-Term Investments.

Money Market Mutual Funds:

All investment in shares of funds regulated as money market mutual funds by the Securities and Exchange Commission.

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**SCHEDULE E – PART 2 – VERIFICATION BETWEEN YEARS**

**CASH EQUIVALENTS**

Column 1 – Total

Equals the sum of Columns 2, 3 and 34.

Line 1 – Book/Adjusted Carrying Value, December 31 of Prior Year

In Column 1, report the book/adjusted carrying value per Schedule E, Part 2, Column 6-7 of the prior year's annual statement.

**\*\*\*DRAFTING NOTE: Leave column reference Column 6 in 2017 Annual Statement Instructions.**

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Line 10 – Book/Adjusted Carrying Value at end of Current Period

Column 1 equals Schedule E, Part 2, Column 67, Total.

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ANNUAL STATEMENT INSTRUCTIONS – LIFE, HEALTH, PROPERTY, FRATERNAL AND TITLE

SUMMARY INVESTMENT SCHEDULE

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Line 3 – Equity Interests

Include: The value of all investments in mutual funds and other equity securities. Such securities include, but are not limited to, ~~money market mutual funds~~, mutual funds that invest solely in U.S. Government securities, common stock of the Federal National Mortgage Association (Fannie Mae), preferred stock and unrestricted voting common stock of the Student Loan Marketing Association (Sallie Mae), and common stock of the Federal Home Loan Mortgage Corporation (Freddie Mac).

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Line 10 – Cash, Cash Equivalents and Short-term Investments

Include: The value of cash (Schedule E, Part 1), cash equivalents (Schedule E, Part 2 including Money Market ~~Funds~~–Mutual Funds) and short-term investments (Schedule DA, Part 1 ~~including Exempt Money Market Funds~~—as Identified by the SVO and All Other Money Market Mutual Funds).

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SCHEDULE D – SUMMARY BY COUNTRY

LONG-TERM BONDS AND STOCKS OWNED DECEMBER 31 OF CURRENT YEAR

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Lines 20 through 23 – Common Stocks – Industrial and Miscellaneous (Unaffiliated)

Include: ~~Money market mutual funds and m~~Mutual funds reported in Schedule D, Part 2, Section 2.

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**SCHEDULE D – PART 1A – SECTION 1**

**QUALITY AND MATURITY DISTRIBUTION OF ALL BONDS OWNED DECEMBER 31  
BY MAJOR TYPE AND NAIC DESIGNATION**

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Section 1. U.S. Governments

Line 0599999 from Schedule D, Part 1, Column 11; Line 0599999 from Schedule DA, Part 1, Column 87; ~~Line 8899999 from Schedule DA, Part 1, Column 8 (Exempt Money Market Mutual Funds);~~ and Line 0599999 from Schedule E, Part 2, Column 67.

Section 2. All Other Governments

Lines 1099999 from Schedule D, Part 1, Column 11; Schedule DA, Part 1, Column 87; and Schedule E, Part 2, Column 67.

Section 3. U.S. States, Territories and Possessions, Guaranteed

Lines 1799999 from Schedule D, Part 1, Column 11; Schedule DA, Part 1, Column 87; and Schedule E, Part 2, Column 67.

Section 4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed

Lines 2499999 from Schedule D, Part 1, Column 11; Schedule DA, Part 1, Column 87; and Schedule E, Part 2, Column 67.

Section 5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed

Lines 3199999 from Schedule D, Part 1, Column 11; Schedule DA, Part 1, Column 87; and in Schedule E, Part 2, Column 67.

Section 6. Industrial & Miscellaneous (Unaffiliated)

Line 3899999 from Schedule D, Part 1, Column 11; Line 3899999 from Schedule DA, Part 1, Column 87; and Line 3899999 from Schedule E, Part 2, Column 67.

Section 7. Hybrid Securities

Lines 4899999 from Schedule D, Part 1, Column 11; Schedule DA, Part 1, Column 87; and Schedule E, Part 2, Column 67.

Section 8. Parent, Subsidiaries and Affiliates

Lines 5599999 from Schedule D, Part 1, Column 11; Schedule DA, Part 1, Column 87; and Schedule E, Part 2, Column 67.

Section 9. SVO Identified Funds

Lines 6099999 from Schedule D, Part 1, Column 11; Schedule DA, Part 1, Column 87; and Schedule E, Part 2, Column 67.

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Footnote (d)

Provide the total book/adjusted carrying value amount reported in Section 10, Column 1 by NAIC designation that represents the amount of securities reported in Schedule DA and Schedule E, Part 2.

The sum of the amounts by NAIC designation (NAIC 1, NAIC 2, NAIC 3, NAIC 4, NAIC 5 and NAIC 6) reported in the footnote should equal the sum of Schedule DA, Part 1, Column 87, Lines 8399999 and ~~8899999~~ plus Schedule E, Part 2, Column 67, Line 8399999.

**SCHEDULE D – PART 1A – SECTION 2**

**MATURITY DISTRIBUTION OF ALL BONDS OWNED DECEMBER 31**  
**BY MAJOR TYPE AND SUBTYPE**

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Section 1. U.S. Governments

Line 0599999 from Schedule D, Part 1, Column 11; Line 0599999 from Schedule DA, Part 1, Column 87; ~~Line 8899999 from Schedule DA, Part 1, Column 8 (Exempt Money Market Mutual Funds);~~ and Line 0599999 from Schedule E, Part 2, Column 67.

Section 2. All Other Governments

Lines 1099999 from Schedule D, Part 1, Column 11; Schedule DA, Part 1, Column 87; and Schedule E, Part 2, Column 67.

Section 3. U.S. States, Territories and Possessions, Guaranteed

Lines 1799999 from Schedule D, Part 1, Column 11; Schedule DA, Part 1, Column 87; and Schedule E, Part 2, Column 67.

Section 4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed

Lines 2499999 from Schedule D, Part 1, Column 11; Schedule DA, Part 1, Column 87; and Schedule E, Part 2, Column 67.

Section 5. U.S. Special Revenue & Special Assessment Obligations, etc. Non-guaranteed

Lines 3199999 from Schedule D, Part 1, Column 11; Schedule DA, Part 1, Column 87; and Schedule E, Part 2, Column 67.

Section 6. Industrial & Miscellaneous (Unaffiliated)

Line 3899999 from Schedule D, Part 1, Column 11; Line 3899999 from Schedule DA, Part 1, Column 87; and Line 3899999 from Schedule E, Part 2, Column 67.

Section 7. Hybrid Securities

Lines 4899999 from Schedule D, Part 1, Column 11; Schedule DA, Part 1, Column 87; and Schedule E, Part 2, Column 67.

Section 8. Parent, Subsidiaries and Affiliates

Lines 5599999 from Schedule D, Part 1, Column 11; Schedule DA, Part 1, Column 87; and Schedule E, Part 2, Column 67.

Section 9. SVO Identified Funds

Lines 6099999 from Schedule D, Part 1, Column 11; Schedule DA, Part 1, Column 87; and Schedule E, Part 2, Column 67.

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**SCHEDULE DA – VERIFICATION BETWEEN YEARS**

**SHORT-TERM INVESTMENTS**

Report the aggregate amounts required by type of short-term investment asset. The categories of assets to be reported are: bonds ~~(including exempt money market mutual funds as identified by the SVO)~~, mortgage loans, other short-term investment assets ~~(including all other money market mutual funds)~~, and investments in parent, subsidiaries and affiliates. A grand total of all activity is also required.

Column 1 – Total

Equals the sum of Columns 2 through 5.

Line 1 – Book/Adjusted Carrying Value, December 31 of Prior Year

In Column 1, report the book/adjusted carrying value per Schedule DA, Part 1, Column 87 of the prior year's annual statement.

**\*\*\*DRAFTING NOTE: Leave column reference Column 8 in 2017 Annual Statement Instructions.**

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Line 10 – Book/Adjusted Carrying Value, Current Year

Column 1 equals Schedule DA, Part 1, Column 87, Total.

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**SCHEDULE D – PART 2 – SECTION 2**

**COMMON STOCKS OWNED DECEMBER 31 OF CURRENT YEAR**

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<u>Category</u>	<u>Line Number</u>
Industrial and Miscellaneous (Unaffiliated) .....	9099999
Parent, Subsidiaries and Affiliates .....	9199999
Mutual Funds .....	9299999
<del>Money Market Mutual Funds</del> .....	<del>9399999</del>
Total Common Stocks .....	9799999
Total Preferred and Common Stocks .....	9899999

Shares of all mutual funds, regardless of the underlying security, whether specialized or a mixture of bonds, stock, money market instruments or other type of investments, except ~~those money market mutual funds as defined in the *Purposes and Procedures Manual of the NAIC Investment Analysis Office*~~ that are reported in Schedule ~~DE~~, Part 1-2 or Schedule ~~DA~~, Part 1 as cash equivalents, are considered to be shares of common stock and should be listed in the appropriate category of Mutual Funds ~~or Money Market Mutual Funds~~.

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**SCHEDULE D – PART 3**

**LONG-TERM BONDS AND STOCKS ACQUIRED DURING CURRENT YEAR**

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Common Stocks:

Industrial and Miscellaneous (Unaffiliated) .....	9099999
Parent, Subsidiaries and Affiliates .....	9199999
Mutual Funds .....	9299999
<del>Money Market Mutual Funds</del> .....	<del>9399999</del>
Subtotals – Common Stocks – Part 3 .....	9799997
Summary item from Part 5 for Common Stocks .....	9799998
Subtotals – Common Stocks .....	9799999
Subtotals – Preferred and Common Stocks .....	9899999
Totals .....	9999999

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**SCHEDULE D – PART 4**

**LONG-TERM BONDS AND STOCKS SOLD, REDEEMED OR OTHERWISE DISPOSED OF  
DURING CURRENT YEAR**

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Common Stocks:

Industrial and Miscellaneous (Unaffiliated) .....	9099999
Parent, Subsidiaries and Affiliates.....	9199999
Mutual Funds.....	9299999
<del>Money Market Mutual Funds.....</del>	<del>9399999</del>
Subtotals – Common Stocks – Part 4 .....	9799997
Summary item from Part 5 for Common Stocks .....	9799998
Subtotals – Common Stocks.....	9799999
Subtotals – Preferred and Common Stocks .....	9899999

Totals ..... 9999999

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**SCHEDULE D – PART 5**

**LONG-TERM BONDS AND STOCKS ACQUIRED DURING THE YEAR AND FULLY DISPOSED OF  
DURING CURRENT YEAR**

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Common Stocks:

Industrial and Miscellaneous (Unaffiliated) .....	9099999
Parent, Subsidiaries and Affiliates.....	9199999
Mutual Funds.....	9299999
<del>Money Market Mutual Funds.....</del>	<del>9399999</del>
Subtotals – Common Stocks.....	9799998
Subtotals – Preferred and Common Stocks .....	9899999

Totals ..... 9999999

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**SCHEDULE DA – PART 1**

**SHORT-TERM INVESTMENTS OWNED DECEMBER 31 OF CURRENT YEAR**

Include all investments whose maturities (or repurchase dates under repurchase agreement) at the time of acquisition were one year or less except those defined as cash or cash equivalents in accordance with *SSAP No. 2—Cash, Drafts, and Short-Term Investments*. All short-term investments owned at Dec 31 of current year should be separated into bonds, mortgage loans, ~~exempt money market mutual funds~~—as identified by the SVO, ~~all other money market mutual funds~~, other short-term invested assets and investments in parent, subsidiaries and affiliates. Within each category, investments should be arranged alphabetically.


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Parent, Subsidiaries and Affiliates	
Mortgage Loans .....	8499999
Other Short-Term Invested Assets .....	8599999
Subtotals – Parent, Subsidiaries and Affiliates.....	8699999
Mortgage Loans.....	8799999
<del>Exempt Money Market Mutual Funds</del> — as Identified by SVO .....	<del>8899999</del>
<del>All Other Money Market Mutual Funds</del> .....	<del>8999999</del>
Other Short-Term Invested Assets .....	9099999
Total Short-Term Investments .....	9199999

A money market fund shall be reported in this schedule as an Exempt Money Market Mutual Fund if such money market fund meets all of the conditions found in Part Six, Section 2(b)(i) of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office*, which are as follows:

- ~~(1) The fund shall invest 100% of its total assets in U.S. Treasury bills, notes, bonds, and collateralized repurchase agreements comprised of those obligations at all times;~~
- ~~(2) The fund shall maintain an AAA, rating from Standard and Poor’s or an Aaa rating from Moody’s Investor’s Services;~~
- ~~(3) The fund shall maintain a constant net asset value per share at all times; and~~
- ~~(4) The fund shall allow a maximum of seven day redemption of proceeds.~~

A money market fund shall also be reported in this schedule as an Exempt Money Market Mutual Fund if such money market fund meets all of the following conditions:

- ~~(1) The fund shall invest its total assets in U.S. Government Bonds (see **Investment Schedules General Instructions**) and collateralized repurchase agreements comprised of those securities at all times;~~
- ~~(2) The fund shall maintain an AAA Rating from Standard and Poor’s or an Aaa rating from Moody’s Investor’s Services;~~
- ~~(3) The fund shall maintain a constant net asset value per share at all times; and~~
- ~~(4) The fund shall allow a maximum of seven day redemption of proceeds.~~

Investments in parent, subsidiaries and affiliates should be segregated into bonds, mortgage loans and other short term investment assets.

Column 1 ——— CUSIP Identification

All CUSIP numbers entered in this column must conform to those as published in the *Purposes and Procedures Manual of the NAIC Investment Analysis Office*, Part Six, Sections 2(f) and (g).

CUSIP identification is **required and valid only** for Exempt Money Market Mutual Funds— as Identified by SVO (Line 8899999) and All Other Money Market Mutual Funds (Line 8999999).

Column <u>21</u>	–	<p>Description</p> <p>Give a complete and accurate description of all bonds, including identifying the kind of investment vehicle if other than short-term bond. Identify “repos” in Column 1; and for “repos,” show the repurchase date. For collateral loans, the type of securities held and fair value of the securities should be included in the description.</p>
Column <u>32</u>	–	<p>Code</p> <p>Enter “@” in this column for all Principal STRIP Bonds or other zero coupon bonds.</p> <p>Enter “^” in this column for all assets that are bifurcated between the insulated separate account filing and the non-insulated separate account filing.</p> <p>If short-term investments are not under the exclusive control of the company as shown in the General Interrogatories, they are to be identified by placing one of the codes <b>identified in the Investment Schedules General Instructions</b> in this column.</p> <p>If the security is a Principal STRIP bond or other zero coupon bond and is not under the exclusive control of the company, the “@” should appear first, immediately followed by the appropriate code (<b>identified in the Investment Schedules General Instructions</b>).</p> <p><b><u>Separate Account Filing Only:</u></b></p> <p style="padding-left: 40px;">If the asset is a bifurcated asset between the insulated separate account filing and the non-insulated separate account filing, the “^” should appear first and may be used simultaneously with the “@” with the “^” preceding the “@” depending on the asset being reported, immediately followed by the appropriate code (<b>identified in the Investment Schedules General Instructions</b>)</p>
Column <u>43</u>	–	<p>Foreign</p> <p>Insert the appropriate code in the column based on the <b>matrix provided in the Investment Schedules General Instructions</b>.</p>
Column <u>54</u>	–	<p>Date Acquired</p> <p>For public placements use trade date, not settlement date. For private placements, use funding date. Reporting entities may total on one line each issue of bonds or stocks acquired at public offerings on more than one date and insert the date of last acquisition.</p> <p><del>For Exempt Money Market Mutual Funds as Identified by the SVO and All Other Money Market Mutual Funds, enter date of last purchase.</del></p>
Column <u>65</u>	–	<p>Name of Vendor</p> <p>Reporting entities may total on one line purchases of various issues of the same issuer of short-term investments and insert the word “various.”</p>
Column <u>76</u>	–	<p>Maturity Date</p> <p>Reporting entities may total on one line purchases of various issues of the same issuer of short-term investments and insert the appropriate maturity date.</p>
Column <u>87</u>	–	<p>Book/Adjusted Carrying Value</p> <p>This should be the amortized value or the lower of amortized value or fair value, as appropriate (and adjusted for any other-than-temporary impairment), as of the end of the current reporting year.</p> <p>Include:                    The original cost of acquiring the investment, including brokerage and other related fees.</p>

Amortization of premium or accrual of discount, but not including any accrued interest or dividends paid thereon.

Amortization of deferred origination and commitment fees.

Deduct: A direct write-down for a decline in the fair value that is other-than-temporary.

Exclude: All other costs, including internal costs or costs paid to an affiliated reporting entity related to origination, purchase or commitment to purchase, are charged to expense when incurred. Cost should also be reduced by payments attributed to the recovery of cost.

Accrued interest or dividends.

The amount reported in this column should equal:

	Actual Cost
plus	Unrealized Valuation Increase (Decrease) Total in Book/Adjusted Carrying Value
plus	Current Year's (Amortization)/Accretion
minus	Current Year's Other-Than-Temporary Impairment Recognized
plus	Total Foreign Exchange Change in Book/Adjusted Carrying Value
plus	Changes due to acquisitions or disposals.

| Column ~~98~~ – Unrealized Valuation Increase (Decrease)

The total unrealized valuation increase (decrease) for a specific security will be the change in Book/Adjusted Carrying Value that is due to carrying or having carried (in the previous year) the security at Fair Value.

These amounts are to be reported as unrealized capital gains (losses) in the Exhibit of Capital Gains (Losses) and in the Capital and Surplus Account.

| Column ~~409~~ – Current Year's (Amortization)/Accretion

This amount should equal the current reporting year's amortization of premium or accrual of discount (regardless of whether the security is currently carried at Amortized Cost). The accrual of discount amounts in this column are to be reported as increases to investment income in the Exhibit of Net Investment Income, while the amortization of premium amounts are to be reported as decreases to investment income.

| Column ~~410~~ – Current Year's Other-Than-Temporary Impairment Recognized

If the security has suffered an other-than-temporary impairment, this column should contain the amount of the direct write-down recognized. The amounts in this column are to be reported as realized capital losses in the Exhibit of Capital Gains (Losses) and in the calculation of Net Income.

| Column ~~421~~ – Total Foreign Exchange Change in Book/Adjusted Carrying Value

This is a positive or negative amount that is defined as the portion of the total change in Book/Adjusted Carrying Value for the year that is attributable to foreign exchange differences for a particular security. The amounts reported in this column should be included as net unrealized foreign exchange capital gain (loss) in the Capital and Surplus Account.

| Column ~~431~~ – Par Value

Enter the par value of the bonds owned adjusted for repayment of principal. For mortgage-backed/loan-backed and structured securities, enter the par amount of principal to which the company has claim. For interest-only bonds without a principal amount on which the company has a claim, use a zero value. Enter the statement date par value for bonds with adjustable principal. An interest-only bond with a small par amount of principal would use that amount.

~~For Exempt Money Market Mutual Funds as Identified by the SVO and All Other Money Market Mutual Funds, enter zero (0).~~

- | Column ~~44~~13 – Actual Cost
- Include: Cost of acquiring the issue, including brokers' commission and incidental expenses of effecting delivery.
- Exclude: Accrued interest.
- | Column ~~46~~15 – Nonadmitted Interest Due & Accrued
- This should equal the nonadmitted amount of due and accrued interest for a specific security, based upon the assessment of collectibility required by *SSAP No. 34—Investment Income Due and Accrued* and any other requirements for nonadmitting investment income due and accrued.
- | Column ~~47~~16 – Rate of Interest
- Show rate of interest as stated on the face of the issue. Where the original stated rate has been renegotiated, show the latest modified rate. Short-term bonds with various issues of the same issuer use the last rate of interest. All information reported in this field must be a numeric value.
- For Principal STRIP Bonds or other zero coupon bonds, enter numeric zero (0).
- | Column ~~48~~17 – Effective Rate of Interest
- Short-term bonds with various issues of the same issuer use the weighted average effective yield to maturity. The Effective Yield calculation should be modified for other-than-temporary impairments recognized. All information reported in this field must be a numeric value.
- | Column ~~49~~18 – Interest – When Paid
- Insert initial letters of months in which interest is payable. For securities that pay interest annually, provide the first three letters of the month in which the interest is paid (e.g., JUN for June). For securities that pay interest semi-annually or quarterly, provide the first letter of each month in which interest is received (e.g., JD for June and December, and MJSD for March, June, September and December). For securities that pay interest on a monthly basis, include "MON" for monthly. Finally, for securities that pay interest at maturity, include "MAT" for maturity.
- For Principal STRIP Bonds or other zero coupon bonds, enter N/A.
- | Column ~~20~~19 – Interest – Amount Received During Year
- Include: The proportionate share of investment income directly related to the securities reported in this schedule.
- Report amounts net of foreign withholding tax.
- | **\*\* Column ~~22~~21 will be electronic only. \*\***
- | Column ~~22~~21 – Legal Entity Identifier (LEI)
- Provide the 20-character Legal Entity Identifier (LEI) for any issuer as assigned by a designated Local Operating Unit. If no LEI number has been assigned, leave blank.

**SCHEDULE DL – PART 1**

**SECURITIES LENDING COLLATERAL ASSETS**

Reinvested Collateral Assets Owned December 31 Current Year



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Stocks:

Preferred Stocks:

Industrial and Miscellaneous (Unaffiliated).....	6899999
Parent, Subsidiaries and Affiliates .....	6999999
Total Preferred Stocks.....	7099999

Common Stocks:

Industrial and Miscellaneous (Unaffiliated).....	7199999
Parent, Subsidiaries and Affiliates .....	7299999
Mutual Funds .....	7399999
<del>Money Market Mutual Funds.....</del>	<del>7499999</del>
Total Common Stocks.....	7599999

Total Preferred and Common Stocks..... 7699999

Column 1 – CUSIP Identification

All CUSIP/PPN/CINS numbers entered in this column must conform to those as provided and published by the Securities Valuation Office (SVO). CUSIP numbers for all purchased publicly issued securities are available from the broker's confirmation or the certificate and will be identical to those used by the SVO. For private placement securities, the NAIC has created a special number called a PPN to be assigned by the Standard and Poor's CUSIP Bureau. For foreign securities, use a CINS that is assigned by the Standard and Poor's CUSIP Bureau: [www.cusip.com/cusip/index.htm](http://www.cusip.com/cusip/index.htm).

The CUSIP reported for this column should be determined in a manner consistent with the instructions of other schedules for the lines shown below:

Line 8899999 .....	Schedule BA, Part 1, Column 1
<u>Line 9199999 .....</u>	<u>Schedule E, Part 2, Column 1</u>
<del>Line 8999999 .....</del>	<del>Schedule DA, Part 1, Column 1</del>

The CUSIP number should be zero-filled for the following lines:

Real Estate (Schedule A type) .....	8699999
Mortgage Loans on Real Estate (Schedule B type).....	8799999
<u>Short-Term Invested Assets (Schedule DA, Part 1 type).....</u>	<u>8999999</u>
Cash (Schedule E, Part 1 type).....	9099999
<del>Cash Equivalents (Schedule E, Part 2 type).....</del>	<del>9199999</del>
Other Assets.....	9299999



**Detail Eliminated To Conserve Space**

Column 5 – Fair Value

The value reported for this column should be determined in a manner consistent with the fair value column instructions of other schedules for the lines shown below:

Lines 0199999 through 6699999 ..... Schedule D, Part 1, Column 9  
 Lines 6899999 through 7099999 ..... Schedule D, Part 2, Section 1, Column 10  
 Lines 7199999 through 7599999 ..... Schedule D, Part 2, Section 2, Column 8  
 Line 8699999 ..... Schedule A, Part 1, Column 10  
 Line 8799999 ..... FV of the underlying collateral Schedule B, Part 1  
 Line 8899999 ..... Schedule BA, Part 1, Column 11

For those lines where the same type of investment is reported on other schedules but do not have a fair value column, report the amount consistent with instructions for the following:

Line 8999999 ..... Report BACV, Schedule DA, Part 1, Column 87  
 Line 9099999 ..... Report Balance, Schedule E Part 1, Column 6  
 Line 9199999 ..... Report BACV, Schedule E Part 2, Column 67

Column 6 – Book/Adjusted Carrying Value

The value reported for this column should be determined in a manner consistent with the instructions of other schedules for the lines shown below:

Lines 0199999 through 6699999 ..... Schedule D, Part 1, Column 11  
 Lines 6899999 through 7099999 ..... Schedule D, Part 2, Section 1, Column 8  
 Lines 7199999 through 7599999 ..... Schedule D, Part 2, Section 2, Column 6  
 Line 8699999 ..... Schedule A, Part 1, Column 9  
 Line 8799999 ..... Schedule B, Part 1, Column 8  
 Line 8899999 ..... Schedule BA, Part 1, Column 12  
 Line 8999999 ..... Schedule DA, Part 1, Column 87  
 Line 9099999 ..... Report Balance, Schedule E, Part 1, Column 6  
 Line 9199999 ..... Schedule E, Part 2, Column 67

Column 7 – Maturity Date

The maturity date reported for this column should be determined in a manner consistent with the instructions of other schedules for the lines shown below:

Lines 0199999 through 6699999 ..... Schedule D, Part 1, Column 22  
 Line 8999999 ..... Schedule DA, Part 1, Column 76  
 Line 9199999 ..... Schedule E, Part 2, Column 56

The following lines are considered assets with no maturity date and should be left blank:

6899999 through 7099999 ..... Preferred Stock (Schedule D, Part 2, Section 1 type)  
 7199999 through 7599999 ..... Common Stock (Schedule D, Part 2, Section 2 type)  
 8699999 ..... Real Estate (Schedule A type)  
 8799999 ..... Mortgage Loans on Real Estate (Schedule B type)  
 8899999 ..... Other Invested Assets (Schedule BA type)  
 9299999 ..... Other Assets



**Detail Eliminated To Conserve Space**

**SCHEDULE DL – PART 2**

**SECURITIES LENDING COLLATERAL ASSETS**

Reinvested Collateral Assets Owned December 31 Current Year



**Detail Eliminated To Conserve Space**

Stocks:

Preferred Stocks:

Industrial and Miscellaneous (Unaffiliated).....	6899999
Parent, Subsidiaries and Affiliates .....	6999999
Total Preferred Stocks.....	7099999

Common Stocks:

Industrial and Miscellaneous (Unaffiliated).....	7199999
Parent, Subsidiaries and Affiliates .....	7299999
Mutual Funds .....	7399999
<del>Money Market Mutual Funds.....</del>	<del>7499999</del>
Total Common Stocks.....	7599999

Total Preferred and Common Stocks..... 7699999

Column 1 – CUSIP Identification

All CUSIP/PPN/CINS numbers entered in this column must conform to those as provided and published by the Securities Valuation Office (SVO). CUSIP numbers for all purchased publicly issued securities are available from the broker’s confirmation or the certificate and will be identical to those used by the SVO. For private placement securities, the NAIC has created a special number called a PPN to be assigned by the Standard and Poor’s CUSIP Bureau. For foreign securities, use a CINS that is assigned by the Standard and Poor’s CUSIP Bureau: [www.cusip.com/cusip/index.htm](http://www.cusip.com/cusip/index.htm).

The CUSIP reported for this column should be same for the security as reported in other schedules for the lines shown below:

Line 8899999 .....	Schedule BA, Part 1, Column 1
<u>Line 9199999 .....</u>	<u>Schedule E, Part 2, Column 1</u>
<del>Line 8999999 .....</del>	<del>Schedule DA, Part 1, Column 1</del>

The CUSIP number should be zero-filled for the following lines:

Real Estate (Schedule A) .....	8699999
Mortgage Loans on Real Estate (Schedule B) .....	8799999
<u>Short-Term Invested Assets (Schedule DA, Part 1).....</u>	<u>8999999</u>
Cash (Schedule E, Part 1) .....	9099999
<del>Cash Equivalents (Schedule E, Part 2).....</del>	<del>9199999</del>
Other Assets.....	9299999



**Detail Eliminated To Conserve Space**

Column 5 – Fair Value

The value reported for this column should be same for the security as reported in other schedules for the lines shown below:



Lines 0199999 through 6699999 ..... Schedule D, Part 1, Column 9  
 Lines 6899999 through 7099999 ..... Schedule D, Part 2, Section 1, Column 10  
 Lines 7199999 through 7599999 ..... Schedule D, Part 2, Section 2, Column 8  
 Line 8699999 ..... Schedule A, Part 1, Column 10  
 Line 8799999 ..... FV of the underlying collateral Schedule B, Part 1  
 Line 8899999 ..... Schedule BA, Part 1, Column 11

For those lines where the same investment is reported on other schedules but do not have a fair value column, report the amount in these columns in the other schedules for the lines shown below:

Line 8999999 ..... Report BACV, Schedule DA, Part 1, Column 87  
 Line 9099999 ..... Report Balance, Schedule E, Part 1, Column 6  
 Line 9199999 ..... Report BACV, Schedule E, Part 2, Column 67

Column 6 – Book/Adjusted Carrying Value

The value reported for this column should be same for the security as reported in other schedules for the lines shown below:

Lines 0199999 through 6699999 ..... Schedule D, Part 1, Column 11  
 Lines 6899999 through 7099999 ..... Schedule D, Part 2, Section 1, Column 8  
 Lines 7199999 through 7599999 ..... Schedule D, Part 2, Section 2, Column 6  
 Line 8699999 ..... Schedule A, Part 1, Column 9  
 Line 8799999 ..... Schedule B, Part 1, Column 8  
 Line 8899999 ..... Schedule BA, Part 1, Column 12  
 Line 8999999 ..... Schedule DA, Part 1, Column 87  
 Line 9099999 ..... Report Balance, Schedule E, Part 1, Column 6  
 Line 9199999 ..... Schedule E, Part 2, Column 67

Column 7 – Maturity Date

The maturity date reported for this column should be same for the security as reported in other schedules for the lines shown below:

Lines 0199999 through 6699999 ..... Schedule D, Part 1, Column 22  
 Line 8999999 ..... Schedule DA, Part 1, Column 76  
 Line 9199999 ..... Schedule E, Part 2, Column 56

The following lines are considered assets with no maturity date and should be left blank:

6899999 through 7099999 ..... Preferred Stock (Schedule D, Part 2, Section 1 type)  
 7199999 through 7599999 ..... Common Stock (Schedule D, Part 2, Section 2 type)  
 8699999 ..... Real Estate (Schedule A type)  
 8799999 ..... Mortgage Loans on Real Estate (Schedule B type)  
 8899999 ..... Other Invested Assets (Schedule BA type)  
 9299999 ..... Other Assets



**Detail Eliminated To Conserve Space**

**SCHEDULE E – PART 2 – CASH EQUIVALENTS**

List all investments owned whose maturities (or repurchase dates under repurchase agreement) at the time of acquisition were three months or less, and defined as cash equivalents in accordance with *SSAP No. 2—Cash, Drafts, and Short-Term Investments*. ~~Do not include~~ Money Market Mutual Funds.



**Detail Eliminated To Conserve Space**

Total Bonds

Subtotals – Issuer Obligations.....	7799999
Subtotals – Residential Mortgage-Backed Securities.....	7899999
Subtotals – Commercial Mortgage-Backed Securities .....	7999999
Subtotals – Other Loan-Backed and Structured Securities.....	8099999
Subtotal – SVO Identified Funds .....	8199999
Subtotals – Bonds.....	8399999

Sweep Accounts..... 8499999

Exempt Money Market Mutual Funds – as Identified by SVO ..... 8599999

All Other Money Market Mutual Funds ..... 8699999

Other Cash Equivalents ..... ~~8599999~~8799999

Total Cash Equivalents ..... ~~8699999~~8899999

A money market fund shall be reported in this schedule as an Exempt Money Market Mutual Fund if such money market fund is identified by the SVO as meeting the required conditions found in Part Six, Section 2(b)(i) of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office*. All money market mutual funds that are not identified by the SVO on the U.S. Direct Obligations / Full Faith and Credit Exempt List shall be reported in this schedule as an “all other money market mutual fund.”

Column 1 – CUSIP Identification

All CUSIP numbers entered in this column must conform to those as published in the *Purposes and Procedures Manual of the NAIC Investment Analysis Office*, Part Six, Sections 2(f) and (g).

CUSIP identification is **required and valid only** for Exempt Money Market Mutual Funds – as Identified by SVO (Line 8599999) and All Other Money Market Mutual Funds (Line 8699999).

Column ~~1~~2 – Description

Give a complete and accurate description.

| Column 23 – Code

Enter “^” in this column for all assets that are bifurcated between the insulated separate account filing and the non-insulated separate account filing.

If a cash equivalent is not under the exclusive control of the company as shown in the General Interrogatories, it is to be identified by placing one of the **codes identified in the Investment Schedules General Instructions** in this column.

**Separate Account Filing Only:**

If the asset is a bifurcated asset between the insulated separate account filing and the non-insulated separate account filing, the “^” should appear first, immediately followed by the appropriate code (**identified in the Investment Schedules General Instructions**).

| Column 34 – Date Acquired

For public placements use trade date, not settlement date. For private placements, use funding date. Each issue of bonds or stocks acquired at public offerings on more than one date may be totaled on one line and the date of last acquisition inserted.

| Column 45 – Rate of Interest

Show rate of interest as stated on the face of the issue. Cash equivalent bonds with various issues of the same issuer use the last rate of interest. All information reported in this field must be a numeric value.

| Column 56 – Maturity Date

Reporting entities may total on one line purchases of various issues of the same issuer of cash equivalent investments and insert the date of last maturity.

| Column 89 – Amount Received During Year

Include: Investment income directly related to the securities reported in this schedule.

Accrual of discount and amortization of premium, where applicable.

Report amounts net of foreign withholding tax.

| **\*\* Column 9-10 will be electronic only. \*\***

| Column 910 – Legal Entity Identifier (LEI)

Provide the 20-character Legal Entity Identifier (LEI) for any issuer as assigned by a designated Local Operating Unit. If no LEI number has been assigned, leave blank.

ANNUAL STATEMENT INSTRUCTIONS – LIFE AND FRATERNAL

**DEFAULT COMPONENT –**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**

=====  
**Detail Eliminated To Conserve Space**  
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Lines 18  
through 24 – Short-Term Bonds

Report the book/adjusted carrying value of all short-term bonds and other short-term fixed-income investments, ~~exempt money market mutual funds, and all other money market mutual funds~~ (Schedule DA, Part 1 (Lines 0599999, 1099999, 1799999, 2499999, 3199999, 3899999, 4899999, 5599999; and 6099999, 8899999 and 8999999) and short-term bonds included on Schedule DL, Part 1, Line 8999999 owned in Columns 1 and 4. Categorize the short-term bonds and other fixed-income instruments ~~and all other money market mutual funds~~ (Schedule DA, Part 1, Line 8999999) listed in the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* into NAIC designations 1 through 6 as directed by the Securities Valuation Office instructions, except that exempt obligations ~~and the exempt money market mutual funds~~ (Schedule DA, Part 1, Line 8899999) listed in the *Purposes and Procedures Manual of the NAIC Investment Analysis Office*, should be reported separately. Multiply the amount in Column 4 for each designation by the reserve factors provided in Columns 5, 7 and 9, and report the products by designation in Columns 6, 8 and 10, respectively.

=====  
**Detail Eliminated To Conserve Space**  
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**EQUITY AND OTHER INVESTED ASSET COMPONENT –**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**

=====  
**Detail Eliminated To Conserve Space**  
=====

Line 1 – Unaffiliated Common Stocks – Public

Report the book/adjusted carrying value of all publicly issued common stock, including ~~money market funds and mutual funds~~ (except ~~those money market mutual funds~~ appropriately reported on Schedule ~~DAE~~, Part 2) in unaffiliated companies in Columns 1 and 4. Multiply Column 4 by the reserve factor calculated for Columns 5, 7 and 9 and report the products in Columns 6, 8 and 10, respectively.

The Line 1, Column 7 and 9 reserve factors must be at least 10% but not more than 20%.

=====  
**Detail Eliminated To Conserve Space**  
=====

**INSTRUCTIONS**

**FOR COMPLETING SEPARATE ACCOUNTS ANNUAL STATEMENT BLANK**



**Detail Eliminated To Conserve Space**

**SCHEDULE DA –VERIFICATION BETWEEN YEARS**  
**SHORT-TERM INVESTMENTS**

Report the aggregate amounts required by type of short-term invested asset. The categories of assets to be reported are: bonds (~~including exempt money market mutual funds and class one money market mutual funds~~), mortgage loans, other short-term invested assets, and investments in parent, subsidiaries and affiliates. A grand total of all activity is also required.

- Line 1           –     Book/Adjusted Carrying Value, December 31 of Prior Year  
  
                          Report the market value per Page 2, Line 7, Column 1 of the prior year’s Separate Accounts Statement.
- Line 2           –     Cost of Short-Term Investments Acquired  
  
                          Report the aggregate cost of short-term investments acquired during the year. A reporting entity may summarize all “overnight” transactions and report the net amount as an increase in short-term investments on this line; all other transactions shall be recorded gross.
- Line 6           –     Deduct Consideration Received on Disposal of Short-term Investments  
  
                          Report the proceeds received on disposal of short-term investments. A reporting entity may summarize all “overnight” transactions and report the net amount as a decrease in short-term investments on this line; all other transactions shall be recorded gross.
- Line 12          –     Statement Value at End of Current Period  
  
                          Enter the amount of Line 10 less Line 11. The amount reported on this line should agree with Page 2, Line 7, Column 1.



**Detail Eliminated To Conserve Space**

**ANNUAL STATEMENT INSTRUCTIONS – PROPERTY**

**INSTRUCTIONS**

**For Completing Protected Cell Annual Statement Blank**

↓ **====** **Detail Eliminated To Conserve Space** **====** ↓

**SCHEDULE DA –VERIFICATION BETWEEN YEARS**

**SHORT-TERM INVESTMENTS**

Report the aggregate amounts required by type of short-term invested asset. The categories of assets to be reported are: bonds (including exempt money market mutual funds and class one money market mutual funds), mortgage loans, other short-term invested assets, and investments in parent, subsidiaries and affiliates. A grand total of all activity is also required.

↓ **====** **Detail Eliminated To Conserve Space** **====** ↓

QUARTERLY STATEMENT INSTRUCTIONS – LIFE, HEALTH, PROPERTY, FRATERNAL AND TITLE

**SCHEDULE D – PART 3**

**LONG-TERM BONDS AND STOCKS ACQUIRED DURING THE CURRENT QUARTER**



**Detail Eliminated To Conserve Space**



Common Stocks:

Industrial and Miscellaneous (Unaffiliated) .....	9099999
Parent, Subsidiaries and Affiliates.....	9199999
Mutual Funds.....	9299999
<del>Money Market Mutual Funds.....</del>	<del>9399999</del>
Subtotals – Common Stocks – Part 3 .....	9799997
Summary item from Part 5 for Common Stocks .....	9799998
Subtotals – Common Stocks.....	9799999
Subtotals – Preferred and Common Stocks .....	9899999

Totals ..... 9999999



**Detail Eliminated To Conserve Space**



**SCHEDULE D – PART 4**

**LONG-TERM BONDS AND STOCKS SOLD, REDEEMED OR OTHERWISE  
DISPOSED OF DURING THE CURRENT QUARTER**



**Detail Eliminated To Conserve Space**



Common Stocks:

Industrial and Miscellaneous (Unaffiliated) .....	9099999
Parent, Subsidiaries and Affiliates.....	9199999
Mutual Funds.....	9299999
<del>Money Market Mutual Funds.....</del>	<del>9399999</del>
Subtotals – Common Stocks – Part 4 .....	9799997
Summary item from Part 5 for Common Stocks .....	9799998
Subtotals – Common Stocks.....	9799999
Subtotals – Preferred and Common Stocks .....	9899999

Totals ..... 9999999



**Detail Eliminated To Conserve Space**



**SCHEDULE DL – PART 1**

**SECURITIES LENDING COLLATERAL ASSETS**

Reinvested Collateral Assets Owned Current Statement Date



Stocks:

Preferred Stocks:

Industrial and Miscellaneous (Unaffiliated).....	6899999
Parent, Subsidiaries and Affiliates .....	6999999
Total Preferred Stocks.....	7099999

Common Stocks:

Industrial and Miscellaneous (Unaffiliated).....	7199999
Parent, Subsidiaries and Affiliates .....	7299999
Mutual Funds .....	7399999
<del>Money Market Mutual Funds.....</del>	<del>7499999</del>
Total Common Stocks.....	7599999

Total Preferred and Common Stocks..... 7699999



**SCHEDULE DL – PART 2**

**SECURITIES LENDING COLLATERAL ASSETS**

Reinvested Collateral Assets Owned Current Statement Date



Stocks:

Preferred Stocks:

Industrial and Miscellaneous (Unaffiliated).....	6899999
Parent, Subsidiaries and Affiliates .....	6999999
Total Preferred Stocks.....	7099999

Common Stocks:

Industrial and Miscellaneous (Unaffiliated).....	7199999
Parent, Subsidiaries and Affiliates .....	7299999
Mutual Funds .....	7399999
<del>Money Market Mutual Funds.....</del>	<del>7499999</del>
Total Common Stocks.....	7599999

Total Preferred and Common Stocks..... 7699999





**SCHEDULE E – PART 2 – CASH EQUIVALENTS**

**INVESTMENTS OWNED END OF CURRENT QUARTER**

List all investments owned whose maturities (or repurchase dates under repurchase agreement) at the time of acquisition were three months or less, as defined as cash equivalents in accordance with *SSAP No. 2—Cash, Drafts, and Short-Term Investments*. ~~Do not include~~ Money Market Mutual Funds.



Total Bonds

Subtotals – Issuer Obligations .....	7799999
Subtotals – Residential Mortgage-Backed Securities.....	7899999
Subtotals – Commercial Mortgage-Backed Securities .....	7999999
Subtotals – Other Loan-Backed and Structured Securities.....	8099999
Subtotal – SVO Identified Funds .....	8199999
Subtotals – Bonds.....	8399999

Sweep Accounts..... 8499999

Exempt Money Market Mutual Funds – as Identified by SVO ..... 8599999

All Other Money Market Mutual Funds ..... 8699999

Other Cash Equivalents ..... 85999998799999

Total Cash Equivalents ..... 86999998899999

A money market fund shall be reported in this schedule as an Exempt Money Market Mutual Fund if such money market fund is identified by the SVO as meeting the required conditions found in Part Six, Section 2(b)(i) of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office*. All money market mutual funds that are not identified by the SVO on the U.S. Direct Obligations / Full Faith and Credit Exempt List shall be reported in this schedule as an “all other money market mutual fund.”

Column 1 – CUSIP Identification

All CUSIP numbers entered in this column must conform to those as published in the *Purposes and Procedures Manual of the NAIC Investment Analysis Office*, Part Six, Sections 2(f) and (g).

CUSIP identification is **required and valid only** for Exempt Money Market Mutual Funds – as Identified by SVO (Line 8599999) and All Other Money Market Mutual Funds (Line 8699999).

Column 2 – Description

Give a complete and accurate description.

Column 3 – Code

If a cash equivalent is not under the exclusive control of the reporting entity, it is to be identified by placing one of the **codes identified in the Investment Schedules General Instructions** in this column.

- | Column 34 – Date Acquired  

For public placements use trade date, not settlement date. For private placements, use funding date. Each issue of bonds or stocks acquired at public offerings on more than one date may be totaled on one line and the date of last acquisition inserted.
- | Column 45 – Rate of Interest  

Show rate of interest as stated on the face of the issue. Short-term bonds with various issues of the same issuer use the last rate of interest. All information reported in this field must be a numeric value.
- | Column 56 – Maturity Date  

Reporting entities may total on one-line purchases of various issues of the same issuer of short-term investments and insert the date of last maturity.
- | Column 89 – Amount Received During Year  

Include:                    Investment income directly related to the securities reported in this schedule.  
Accrual of discount and amortization of premium, where applicable.

Report amounts net of foreign withholding tax.
- | **\*\* Column 9-10 will be electronic only. \*\***
- | Column 910 – Legal Entity Identifier (LEI)  

Provide the 20-character Legal Entity Identifier (LEI) for any issuer as assigned by a designated Local Operating Unit. If no LEI number has been assigned, leave blank.



**SCHEDULE E – PART 2 – CASH EQUIVALENTS**  
 Show Investments Owned December 31 of Current Year

1 CUSIP	42 Description	23 Code	34 Date Acquired	45 Rate of Interest	56 Maturity Date	67 Book/Adjusted Carrying Value	78 Amount of Interest Due & Accrued	89 Amount Received During Year
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8699999—8899999	Total Cash Equivalents							



**ANNUAL STATEMENT BLANK– LIFE, HEALTH, PROPERTY, FRATERNAL TITLE, SEPARATE ACCOUNTS & PROTECTED CELL**

**SCHEDULE E – PART 2 – VERIFICATION BETWEEN YEARS**

(Cash Equivalents)

	1 Total	2 Bonds	3 Money Market Mutual Funds	34 Other (a)
1. Book/adjusted carrying value, December 31 of prior year .....	.....	.....	.....	.....
2. Cost of cash equivalents acquired.....	.....	.....	.....	.....
3. Accrual of discount .....	.....	.....	.....	.....
4. Unrealized valuation increase (decrease).....	.....	.....	.....	.....
5. Total gain (loss) on disposals.....	.....	.....	.....	.....
6. Deduct consideration received on disposals.....	.....	.....	.....	.....
7. Deduct amortization of premium .....	.....	.....	.....	.....
8. Total foreign exchange change in book/adjusted carrying value.....	.....	.....	.....	.....
9. Deduct current year's other-than-temporary impairment recognized .....	.....	.....	.....	.....
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	.....	.....	.....	.....
11. Deduct total nonadmitted amounts .....	.....	.....	.....	.....
12. Statement value at end of current period (Line 10 minus Line 11)	.....	.....	.....	.....

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment.....