

NAIC BLANKS (E) WORKING GROUP

Blanks Agenda Item Submission Form

CONTACT PERSON: _____ TELEPHONE: _____ EMAIL ADDRESS: _____ ON BEHALF OF: _____ NAME: <u>Dale Bruggeman</u> TITLE: <u>Chair SAPWG</u> AFFILIATION: <u>Ohio Department of Insurance</u> ADDRESS: <u>50W. Town St., 3rd Fl., Ste. 300</u> <u>Columbus, OH 43215</u>	DATE: <u>03/19/2019</u>	FOR NAIC USE ONLY
	Agenda Item # <u>2019-17BWG MOD</u> Year <u>2019</u> Changes to Existing Reporting <input checked="" type="checkbox"/> [X] New Reporting Requirement <input type="checkbox"/> []	REVIEWED FOR ACCOUNTING PRACTICES AND PROCEDURES IMPACT
	No Impact <input checked="" type="checkbox"/> [X] Modifies Required Disclosure <input type="checkbox"/> []	DISPOSITION
	<input type="checkbox"/> [] Rejected For Public Comment <input type="checkbox"/> [] Referred To Another NAIC Group <input type="checkbox"/> [] Received For Public Comment <input checked="" type="checkbox"/> [X] Adopted Date <u>06/24/2019</u> <input type="checkbox"/> [] Rejected Date _____ <input type="checkbox"/> [] Deferred Date _____ <input type="checkbox"/> [] Other (Specify) _____	

BLANK(S) TO WHICH PROPOSAL APPLIES

- | | | |
|---|--|---|
| <input checked="" type="checkbox"/> [X] ANNUAL STATEMENT | <input checked="" type="checkbox"/> [X] INSTRUCTIONS | <input type="checkbox"/> [] CROSSCHECKS |
| <input checked="" type="checkbox"/> [X] QUARTERLY STATEMENT | <input type="checkbox"/> [] BLANK | |
| <input checked="" type="checkbox"/> [X] Life, Accident & Health/Fraternal | <input type="checkbox"/> [] Separate Accounts | <input checked="" type="checkbox"/> [X] Title |
| <input checked="" type="checkbox"/> [X] Property/Casualty | <input type="checkbox"/> [] Protected Cell | <input type="checkbox"/> [] Other _____ |
| <input checked="" type="checkbox"/> [X] Health | <input type="checkbox"/> [] Health (Life Supplement) | |

Anticipated Effective Date: Annual 2019

IDENTIFICATION OF ITEM(S) TO CHANGE

Add two new lines for affiliated bank loans to the parent, subsidiaries and affiliates category and modify the existing lines for bank loans to reference unaffiliated for Schedule D, Part 1; Schedule DA; Schedule DL, Parts 1 and 2; and Schedule E, Part 2. The subtotal line for bank loans under the total bond category will be the sum of the affiliated and unaffiliated lines. Change line description for Schedule D, Parts 3, 4 and 5 for Line 8299999 to say Unaffiliated Bank Loans.

REASON, JUSTIFICATION FOR AND/OR BENEFIT OF CHANGE**

The purpose of this proposal is to add lines to the parent, subsidiaries and affiliates category to capture affiliated bank loans.

NAIC STAFF COMMENTS

Comment on Effective Reporting Date: _____

Other Comments:

** This section must be completed on all forms.

ANNUAL STATEMENT INSTRUCTIONS – LIFE/FRATERNAL, HEALTH, PROPERTY AND TITLE

SCHEDULE D – PART 1

LONG-TERM BONDS OWNED DECEMBER 31 OF CURRENT YEAR



Detail Eliminated to Conserve Space

Parent, Subsidiaries and Affiliates	
Issuer Obligations.....	4999999
Residential Mortgage-Backed Securities	5099999
Commercial Mortgage-Backed Securities.....	5199999
Other Loan-Backed and Structured Securities	5299999
<u>Affiliated Bank Loans – Issued</u>	5399999
<u>Affiliated Bank Loans – Acquired</u>	5499999
Subtotals – Parent, Subsidiaries and Affiliates.....	5599999
SVO Identified Funds	
Exchange Traded Funds – as Identified by the SVO.....	5899999
Bond Mutual Funds – as Identified by the SVO	5999999
Subtotals – SVO Identified Funds.....	6099999
<u>Unaffiliated Bank Loans</u>	
<u>Unaffiliated Bank Loans – Issued</u>	6399999
<u>Unaffiliated Bank Loans – Acquired</u>	6499999
Subtotals – <u>Unaffiliated Bank Loans</u>	6599999
Total Bonds	
Subtotals – Issuer Obligations.....	7799999769999
Subtotals – Residential Mortgage-Backed Securities.....	7899999779999
Subtotals – Commercial Mortgage-Backed Securities.....	7999999789999
Subtotals – Other Loan-Backed and Structured Securities	8099999799999
Subtotals – SVO Identified Funds.....	8199999809999
Subtotals – <u>Affiliated Bank Loans</u>	8199999
Subtotals – <u>Unaffiliated Bank Loans</u>	8299999
Subtotals – Total Bonds	8399999



Detail Eliminated to Conserve Space

ANNUAL STATEMENT INSTRUCTIONS – LIFE/FRATERNAL, HEALTH, PROPERTY AND TITLE

SCHEDULE DA – PART 1

SHORT-TERM INVESTMENTS OWNED DECEMBER 31 OF CURRENT YEAR



Detail Eliminated to Conserve Space

Parent, Subsidiaries and Affiliates Bonds	
Issuer Obligations.....	4999999
Residential Mortgage-Backed Securities	5099999
Commercial Mortgage-Backed Securities.....	5199999
Other Loan-Backed and Structured Securities	5299999
<u>Affiliated Bank Loans – Issued</u>	5399999
<u>Affiliated Bank Loans – Acquired</u>	5499999
Subtotals – Parent, Subsidiaries and Affiliates Bonds	5599999
SVO Identified Funds	
Exchange Traded Funds – as Identified by the SVO.....	5899999
Bond Mutual Funds – as Identified by the SVO	5999999
Subtotals – SVO Identified Funds.....	6099999
<u>Unaffiliated Bank Loans</u>	
<u>Unaffiliated Bank Loans – Issued</u>	6399999
<u>Unaffiliated Bank Loans – Acquired</u>	6499999
Subtotals – <u>Unaffiliated Bank Loans</u>	6599999
Total Bonds	
Subtotals – Issuer Obligations.....	77999997699999
Subtotals – Residential Mortgage-Backed Securities.....	78999997799999
Subtotals – Commercial Mortgage-Backed Securities	79999997899999
Subtotals – Other Loan-Backed and Structured Securities	80999997999999
Subtotals – SVO Identified Funds.....	81999998099999
Subtotals – <u>Affiliated Bank Loans</u>	8199999
Subtotals – <u>Unaffiliated Bank Loans</u>	8299999
Subtotals – Bonds.....	8399999



Detail Eliminated to Conserve Space

SCHEDULE DL – PART 1

SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned December 31 Current Year
 (Securities lending collateral assets reported in aggregate on Line 10 of the asset page
 and not included on Schedules A, B, BA, D, DB and E.)



Detail Eliminated to Conserve Space

Parent, Subsidiaries and Affiliates

Issuer Obligations.....	4999999
Residential Mortgage-Backed Securities	5099999
Commercial Mortgage-Backed Securities.....	5199999
Other Loan-Backed and Structured Securities	5299999
<u>Affiliated Bank Loans – Issued.....</u>	<u>5399999</u>
<u>Affiliated Bank Loans – Acquired.....</u>	<u>5499999</u>
Subtotals – Parent, Subsidiaries and Affiliates.....	5599999

SVO Identified Funds

Exchange Traded Funds – as Identified by the SVO.....	<u>5899999</u> <u>5799999</u>
Bond Mutual Funds – as Identified by the SVO	<u>5999999</u> <u>5899999</u>
Subtotals – SVO Identified Funds.....	<u>6099999</u> <u>5999999</u>

Unaffiliated Bank Loans

<u>Unaffiliated Bank Loans – Issued.....</u>	<u>6199999</u> <u>6099999</u>
<u>Unaffiliated Bank Loans – Acquired.....</u>	<u>6299999</u> <u>6199999</u>
Subtotals – <u>Unaffiliated Bank Loans</u>	<u>6399999</u> <u>6299999</u>

Total Bonds

Subtotals – Issuer Obligations.....	<u>6499999</u> <u>6399999</u>
Subtotals – Residential Mortgage-Backed Securities.....	<u>6599999</u> <u>6499999</u>
Subtotals – Commercial Mortgage-Backed Securities.....	<u>6699999</u> <u>6599999</u>
Subtotals – Other Loan-Backed and Structured Securities	<u>6799999</u> <u>6699999</u>
Subtotals – SVO Identified Funds.....	<u>6899999</u> <u>6799999</u>
<u>Subtotals – Affiliated Bank Loans</u>	<u>6899999</u>
Subtotals – <u>Unaffiliated Bank Loans</u>	6999999
Subtotals – Total Bonds	7099999



Detail Eliminated to Conserve Space

SCHEDULE DL – PART 2

SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned December 31 Current Year
 (Securities lending collateral assets included on Schedules A, B, BA, D, DB and E
 and not reported in aggregate on Line 10 of the asset page.)



Detail Eliminated to Conserve Space

Parent, Subsidiaries and Affiliates

Issuer Obligations.....	4999999
Residential Mortgage-Backed Securities	5099999
Commercial Mortgage-Backed Securities.....	5199999
Other Loan-Backed and Structured Securities	5299999
<u>Affiliated Bank Loans – Issued.....</u>	<u>5399999</u>
<u>Affiliated Bank Loans – Acquired.....</u>	<u>5499999</u>
Subtotals – Parent, Subsidiaries and Affiliates.....	5599999

SVO Identified Funds

Exchange Traded Funds – as Identified by the SVO.....	5899999 5799999
Bond Mutual Funds – as Identified by the SVO	5999999 5899999
Subtotals – SVO Identified Funds.....	6099999 5999999

Unaffiliated Bank Loans

<u>Unaffiliated Bank Loans – Issued.....</u>	6199999 6099999
<u>Unaffiliated Bank Loans – Acquired.....</u>	6299999 6199999
Subtotals – <u>Unaffiliated Bank Loans.....</u>	6399999 6299999

Total Bonds

Subtotals – Issuer Obligations.....	6499999 6399999
Subtotals – Residential Mortgage-Backed Securities.....	6599999 6499999
Subtotals – Commercial Mortgage-Backed Securities.....	6699999 6599999
Subtotals – Other Loan-Backed and Structured Securities	6799999 6699999
Subtotals – SVO Identified Funds.....	6899999 6799999
<u>Subtotals – Affiliated Bank Loans</u>	<u>6899999</u>
Subtotals – <u>Unaffiliated Bank Loans.....</u>	6999999
Subtotals – Total Bonds	7099999



Detail Eliminated to Conserve Space

SCHEDULE E – PART 2 – CASH EQUIVALENTS



Detail Eliminated to Conserve Space

Parent, Subsidiaries and Affiliates Bonds

Issuer Obligations.....	4999999
Residential Mortgage-Backed Securities	5099999
Commercial Mortgage-Backed Securities.....	5199999
Other Loan-Backed and Structured Securities	5299999
<u>Affiliated Bank Loans – Issued</u>	<u>5399999</u>
<u>Affiliated Bank Loans – Acquired</u>	<u>5499999</u>
Subtotals – Parent, Subsidiaries and Affiliates Bonds	5599999

SVO Identified Funds

Exchange Traded Funds – as Identified by the SVO.....	5899999
Bond Mutual Funds – as Identified by the SVO	5999999
Subtotals – SVO Identified Funds.....	6099999

Bank Loans

<u>Unaffiliated Bank Loans – Issued</u>	<u>6399999</u>
<u>Unaffiliated Bank Loans – Acquired</u>	<u>6499999</u>
Subtotals – <u>Unaffiliated Bank Loans</u>	6599999

Total Bonds

Subtotals – Issuer Obligations.....	<u>7799999</u> <u>7699999</u>
Subtotals – Residential Mortgage-Backed Securities.....	<u>7899999</u> <u>7799999</u>
Subtotals – Commercial Mortgage-Backed Securities	<u>7999999</u> <u>7899999</u>
Subtotals – Other Loan-Backed and Structured Securities	<u>8099999</u> <u>7999999</u>
Subtotals – SVO Identified Funds.....	<u>8199999</u> <u>8099999</u>
<u>Subtotals – Affiliated Bank Loans</u>	<u>8199999</u>
Subtotals – <u>Unaffiliated Bank Loans</u>	8299999
Subtotals – Bonds.....	8399999



Detail Eliminated to Conserve Space

DRAFTING NOTE: For Schedule D, Parts 3 and 4 (annual and quarterly) change the line description for Line 8299999 to Unaffiliated Bank Loans. Add new Line 8199999 as Affiliated Bank Loans and renumber other Total Bond Subtotals like done for Schedule D, Part 1.

For Schedule D, Part5 (annual) change the line description for Line 8299999 to Unaffiliated Bank Loans. Add new Line 8199999 as Affiliated Bank Loans and renumber other Total Bond Subtotals like done for Schedule D, Part 1.