



**NAIC List of Schedule BA Non-Registered Private Funds
With Underlying Assets Having Characteristics of
Bonds or Preferred Stock**

As of December 31, 2021



National Association of
Insurance Commissioners

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National Association of Insurance Commissioners

List of Schedule BA Non-Registered Private Funds With Underlying Assets Having Characteristics of Bonds or Preferred Stock as of December 31, 2021

NOTE:

An insurance company that purchases a Schedule BA Private Fund With Underlying Assets Having Characteristics of Bonds or Preferred Stock whose name was added by the SVO to:

- List of Schedule BA Non-Registered Private Funds With Underlying Assets Having Characteristics of Bonds or Preferred Stock eligible to be reported on Schedule BA with an NAIC Designation

must file the Fund with the SVO if they wish to obtain a final NAIC Designation for reporting purposes. The Regulatory Treatment Analysis Services (“RTAS”) process used to evaluate Schedule BA Private Funds under “[The Regulatory Treatment Analysis Service – Emerging Investment Vehicle](#)” section in [Part Two](#) and the “[NAIC Fund Lists](#)” section in [Part Three](#) of the Purposes and Procedures Manual of the NAIC Investment Analysis Office (the “Purposes and Procedures Manual”) only results in the assignment of a preliminary NAIC Designations to the Schedule BA Fund.

Preliminary NAIC Designations are indications of the likely SVO response if the Schedule BA Fund were purchased by an insurer and filed with the SVO for assignment of an NAIC Designation and are based on preliminary documentation and therefore cannot be used to report the Fund to the NAIC or state insurance regulators.

| ISSUER NAME | ISSUE DESCRIPTION | CUSIP |
|---|--|-----------|
| AUDAZ MEZZANINE FD III L P | UNIT LTD PARTNERSHIP INT | 05069@105 |
| BABSON GLOBAL CR FD LUX SCSP SICAV-SIF | PARTNERSHIP UNIT BABSON GLOBAL PRIVATE LN FD | L0523#101 |
| BARINGS GLOBAL INVT FDS (U S) L P | SER F DISTRBG - BARINGS USLOAN FD SER | 06759#106 |
| BERKSHIRE WAY LLC | UNIT MEMBERSHIP INT | 08471*103 |
| BLACKROCK SECURITIZED INVESTORS FUND | LIMITED PARTNERSHIP INTEREST | |
| CALTIUS PARTNERS IV L.P. | UNIT LTD PARTNERSHIP INT | 13139#103 |
| CHURCHILL JUNIOR CAPITAL OPPORTUNITIES FUND II LP | LTD PARTNERSHIP INT | |
| CHURCHILL MIDDLE MKT SR LN FDII MASTER FD L P | LTD PARTNERSHIP INT | 171515109 |
| EATON VANCE INSTL SENIOR LN FD | SHS | G2926*100 |
| EM OPPORTUNITIES LLC | UNIT MEMBERSHIP INT | 26861#109 |
| FORT WASH ACTIVE FIXED INCOMELL | UNIT MEMBERSHIP INT | 34918@108 |
| FORT WASH EMERGING MKTS FIXEDINCOME LLC | UNIT MEMBERSHIP INT | 34918*100 |
| FORT WASHINGTON HIGH YIELDINVS LLC | UNIT MEMBERSHIP INT | 34920#102 |
| GATEWAY MEZZANINE PARTNERS III P | UNIT LTD PARTNERSHIP INT | 36764#106 |
| GUGGENHEIM PARTNERSOPPORTUNISTIC INVT GRADE SECSFD LLC | UNIT MEMBERSHIP INT | 40166@104 |
| MACKAY MUN U S INFRASTRUCTUREOPPORTUNITIES FD LP | UNIT LTD PARTNERSHIP INT | 55455@102 |
| MACKAY SHIELDS DEFENSIVEARBITRAGE FD LTD | COM | 55452*107 |
| METLIFE BL FEEDER LP | LIMITED PARTNERSHIP INTEREST | 59182@104 |
| METLIFE EMERGING MARKETS DEBT BLEND FUND (INSURANCE RATED) L.P. | LIMITED PARTNERSHIP INTEREST | 59183#101 |
| MPLX LP | UNIT PFD SER A | 55336V2#6 |
| MUZINICH FLOATING RATE HIGH INCOME FUND, LP | LIMITED PARTNER INTERESTS | |
| PFG CTL MTG LLC | UNIT MEMBERSHIP INT | 71706#104 |
| PGIM SENIOR LOAN OPPORTUNITIES FUND I LP | LIMITED PARTNER INTERESTS | |
| PGIM SENIOR LOAN OPPTORTUNITIES FUND I LP (LEVERED) | LIMITED PARTNER INTERESTS | |
| PIMCO DISCO ONSHORE FUND III LP | LIMITED PARTNERSHIP INTERESTS | |
| PINEBRIDGE GLOBAL OPPORTUNITIES DM CREDIT FUND LP | LIMITED PARTNERSHIP INTERESTS | |
| SLC MANAGEMENT U.S. INVESTMENT GRADE PRIVATE CREDIT FUND, L.P. | LIMITED PARTNERSHIP UNITS | |
| TIAA GLOBAL PUBLICINVESTMENTS LLC | UNIT MEMBERSHIP INT | 88873*109 |
| ZAZOVE GLOBAL CONV FD L P | UNIT LTD PARTNERSHIP INT | 98919@102 |
| ZAZOVE INSTL INVT GRADE CONVFD L P | UNIT LTD PARTNERSHIP INT | 98920#107 |

NOTE: